



**Item 1          Cover Page**

**Part 2B of NIA Form ADV: Brochure Supplement**

## **Voting Members of Nationwide Investment Advisors, LLC (“NIA”) Investment Committee**

**Harold C. Schafer**  
10 W. Nationwide Blvd  
Mail Code 5-02-304J  
Columbus, OH 43215  
614-435-8371

**Benjamin D. Lozier**  
10 W. Nationwide Blvd  
Mail Code 5-02-304J  
Columbus, OH 43215  
614-435-5828

**Nicholas Ziegenbusch**  
10 W. Nationwide Blvd  
Mail Code 5-02-304J  
Columbus, OH 43215  
614-435-2282

**Nationwide Investment Advisors, LLC**  
10 West Nationwide Blvd  
Mail Code: 5-02-304  
Columbus, OH 43215  
614-435-8371

**January 31, 2024**

This *brochure* supplement provides information about the voting members of NIA’s Investment Committee that supplements the Nationwide Investment Advisors, LLC (“NIA”) *brochure*. You should have received a copy of that *brochure*. Please contact 1-888-540-2896 if you did not receive NIA’s *brochure* or if you have any questions about the contents of this supplement.

**Harold C. Schafer**

10 West Nationwide Blvd  
 Mail Code: 5-02-304J  
 Columbus, OH 43215  
 614-435-8371

**Nationwide Investment Advisors, LLC**

10 West Nationwide Blvd  
 Mail Code: 5-02-304  
 Columbus, OH 43215  
 614-435-8371

**Item 2 Educational Background and Business Experience****Name:** Harold C. Schafer**Year of birth:** 1963**Formal education after high school:**

The Ohio State University, BS Mathematics, 1986

**General business background during the last 5 years:**

Vice President, Business Development within Retirement Plans at Nationwide Financial. The Business Development group is responsible for delivering competitive retirement plan solutions, guiding large scale programs, and developing business strategies for the Public Sector and Private Sector market segments.

**Positions held during the last 5 years:**

<u>Entity</u>	<u>Title</u>	<u>Effective Date</u>	<u>End Date</u>
Nationwide Investment Advisors LLC	President	1/2015	Current

**Item 3 Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of NIA supervised persons who formulate investment advice for NIA’s investment advisory programs (“NIA Advisory Programs”). No information is applicable to this item for Mr. Schafer.

**Item 4 Other Business Activities – N/A****Item 5 Additional Compensation**

Mr. Schafer does not receive additional compensation for providing advisory services.

## **Item 6          Supervision**

Mr. Schafer, President of NIA and Chairman of NIA's Investment Committee, is responsible for supervising the other voting members of the Investment Committee and has ultimate responsibility for oversight and supervision for NIA. The Investment Committee is responsible for reviewing and approving all investment advice formulated by NIA, as well as monitoring the services of NIA's advisory service providers: the IFE for Nationwide ProAccount, the IFE for Nationwide Smart Alliance, and the Portfolio Strategist for the Advice Program. Mr. Schafer does not have individual discretionary authority over client accounts or participate in the delivery of investment advice to clients.

**Benjamin D. Lozier**

10 W. Nationwide Blvd  
Mail Code 5-02-304J  
Columbus, OH 43215  
614-435-5828

**Nationwide Investment Advisors, LLC**

10 W. Nationwide Blvd  
Columbus, OH 43215  
614-435-8371

**Item 2 Educational Background and Business Experience**

**Name:** Benjamin D. Lozier, CFA, CIMA

**Year of Birth:** 1973

**Formal Education after high school:**

Franklin University, Columbus, OH BS, 2000

**General business background during the last 5 years:**

Assistant Vice President, Nationwide Managed Accounts and Investment Products. Nationwide ProAccount offers individualized participant level investment advice, using an investment process developed and maintained by an Independent Financial Expert (“IFE”), which is designed to address the investment objectives of retirement plan participants. Responsibilities include the development of business strategies for the long-term growth of Nationwide managed accounts.

Associate Vice President, Managed Accounts & Investment Products. Responsible for management of Nationwide’s Managed Accounts Business, including ProAccount. ProAccount offers individualized participant level investment advice, using an investment process developed and maintained by an Independent Financial Expert (“IFE”), which is designed to address the investment objectives of retirement plan participants. Also responsible for management and maintenance of Nationwide Smart Alliance, a non-discretionary investment advisory service offered with guidance from another IFE in support of plan sponsors. Primary responsibilities include the development of business strategies for the long-term growth of these solutions.

Senior Director, Business Development, Nationwide Investment Management. Responsible for the management and oversight of Nationwide Financial relationships with asset management firms for long-term growth of Nationwide Financial.

Senior Director, Nationwide Investment Consulting & Research. Responsible for the management of strategic planning for all investment consulting and research functions provided to Nationwide Financial and development of business strategies for long-term growth of Nationwide Financial.

Head of External Fund Research, Nationwide Financial. Responsible for research of all funds offered on Nationwide product menus and the development of business strategies for long-term growth of Nationwide Financial.

**Positions held during the last 5 years:**

<b><u>Entity</u></b>	<b><u>Title</u></b>	<b><u>Effective Date</u></b>	<b><u>End Date</u></b>
Nationwide Investment Advisors LLC	AVP Nationwide ProAccount	1/2024	Current
Nationwide Life Insurance	AVP Nationwide Product Lifecycle Management	2/2022	1/2024
Nationwide Life Insurance	Senior Director Business Development	6/2020	2/2022
Nationwide Life Insurance	Senior Director Investment Consulting & Research	12/2018	6/2020

**Item 3      Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of NIA supervised persons who formulate investment advice for NIA's investment advisory programs ("NIA Advisory Programs"). No information is applicable to this item for Mr. Lozier.

**Item 4      Other Business Activities - N/A**

**Item 5      Additional Compensation**

Mr. Lozier does not receive additional compensation for providing advisory services.

**Item 6      Supervision**

Harold C. Schafer, President of NIA and Chairman of NIA's Investment Committee, whose phone number is 614-435-8371, is responsible for supervising Mr. Lozier's advisory activities on behalf of NIA, including his participation on the Investment Committee.

The Investment Committee is responsible for reviewing and approving all investment advice formulated by NIA, as well as monitoring the services of NIA's advisory service providers: the IFE for Nationwide ProAccount, the IFE for Nationwide Smart Alliance, and the Portfolio Strategist for the Advice Program. Mr. Lozier does not have individual discretionary authority over client accounts but may participate in the delivery of investment advice to plan sponsors with our Smart Alliance advisory service.

**Nicholas Ziegenbusch**  
10 W. Nationwide Blvd  
Mail Code 5-02-304J  
Columbus, OH 43215  
614-435-2282

**Nationwide Investment Advisors, LLC**  
10 W. Nationwide Blvd  
Columbus, OH 43215  
614-435-8371

## **Item 2 Educational Background and Business Experience**

**Name:** Nicholas Ziegenbusch, CFA, CAIA, FDP  
**Year of Birth:** 1990

### **Formal Education after high school:**

Ohio Wesleyan University, Delaware, OH BA, 2013

### **General business background during the last 5 years:**

Technical Director, Retirement Solutions Business Development. Responsible for the development of business strategies for a Retirement Solutions for the long-term growth of Nationwide Financial.

Senior Investment Consultant, Investment Management Group. Responsible for development of business strategies for the long-term growth of Investment Management Group and Nationwide Financial.

### **Positions held during the last 5 years:**

<u>Entity</u>	<u>Title</u>	<u>Effective Date</u>	<u>End Date</u>
Nationwide Investment Advisor LLC	Technical Director	5/2023	Current
Nationwide Life Insurance	Senior Investment Consultant	5/2018	5/2023

## **Item 3 Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of NIA supervised persons who formulate investment advice for NIA's investment advisory programs ("NIA Advisory Programs"). No information is applicable to this item for Mr. Ziegenbusch.

## **Item 4 Other Business Activities - N/A**

## **Item 5 Additional Compensation**

Mr. Ziegenbusch does not receive additional compensation for providing advisory services.

## **Item 6          Supervision**

Harold C. Schafer, President of NIA and Chairman of NIA's Investment Committee, whose phone number is 614-435-8371, is responsible for supervising Mr. Ziegenbusch's advisory activities on behalf of NIA, including his participation on the Investment Committee. The Investment Committee is responsible for reviewing and approving all investment advice formulated by NIA, as well as monitoring the services of NIA's advisory service providers: the IFE for Nationwide ProAccount, the IFE for Nationwide Smart Alliance, and the Portfolio Strategist for the Advice Program. Mr. Ziegenbusch does not have individual discretionary authority over client accounts but may participate in the delivery of investment advice to plan sponsors with our Smart Alliance advisory service.